IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

LIGHT TRANSFORMATION TECHNOLOGIES LLC

NO. 2:12-CV-826-MHS-RSP (LEAD CASE)

v.

LIGHTING SCIENCE GROUP CORP., et al.

CONSOLIDATED

LIGHT TRANSFORMATION TECHNOLOGIES LLC

NO. 2-12-CV-827-MHS-RSP

v.

GENERAL ELECTRIC COMPANY, et al.

JOINT CLAIM CONSTRUCTION AND PREHEARING STATEMENT

Plaintiff Light Transformation Technologies LLC ("LTT") and defendants Lighting Science Group Corporation ("LSG"); Home Depot U.S.A., Inc. ("Home Depot"); General Electric Company; GE Lighting Solutions, LLC, GE Lighting, Inc., GE Lighting, LLC, GE Lighting Systems, LLC, and GE Lighting Systems, Inc. (collectively "GE"); and Wal-Mart Stores, Inc. ("Walmart") (collectively "Defendants") submit this Joint Claim Construction and Prehearing Statement pursuant to P.R. 4-3 and this Court's Case Management Plan (Dkt. No. 49).

I. P.R. 4-3(a): The Construction of Claim Terms, Phrases, or Clauses on Which the Parties Agree.

The parties agree to the construction of the following terms:

Disputed Claim Term or Phrase	Asserted Claim(s)	Parties' Agreed-Upon Constructions
"predetermined pattern"	'911 patent, claim 6; and '959 patent, claims 1, 3, 6	A light pattern specified in advance, where the transformer is designed taking into account the angular luminous intensity distribution of the light emitted by the light source as a design input parameter.
"transformer axis coaxial to the longitudinal axis of the light pipe"	'418 patent, claim 24 ¹	The transformer and the light pipe have a common axis or centerline.

II. P.R. 4-3(b): Each Party's Proposed Constructions.

Plaintiff LTT's proposed constructions are attached hereto as Exhibit A. Defendants' proposed constructions are attached hereto as Exhibit B.

The above-referenced exhibits identify the intrinsic and extrinsic evidence that the respective parties presently intend to rely upon in support of their proposed constructions or to oppose any other party's proposed constructions.

2

¹ Because Plaintiff has not asserted the '418 patent against LSG and is no longer asserting the '418 patent against Home Depot, LSG and Home Depot do not join in this construction or any proposed constructions for the '418 patent.

III. P.R. 4-3(c): The Anticipated Length of Time Necessary for the Claim Construction Hearing.

The Court has scheduled the Claim Construction Hearing for June 18, 2014. (Dkt. No.

49.) Plaintiff and Defendants respectfully request that the Court allot four hours (i.e., 2 hours to each side) for the claim construction hearing.²

IV. P.R. 4-3(d): Whether Any Party Proposes to Call One or More Witnesses.

At the present time, neither side proposes to call witnesses at the claim construction hearing.

V. P.R. 4-3(e): A List of Any Other Issues That Might Appropriately Be Taken Up at a Prehearing Conference.

The parties are not presently aware of any issues which might be taken up at a prehearing conference.

April 17, 2014

By: <u>/s/</u> draft

Henry M. Pogorzelski – LEAD COUNSEL

Texas Bar No. 24007852

Collins, Edmonds, Pogorzelski,

SCHLATHER & TOWER, PLLC

1616 S. Voss Road, Suite 125

Houston, Texas 77057

Telephone: (281) 501-3425

Facsimile: (832) 415-2535

Email: hpogorzelski@cepiplaw.com

Attorneys for Plaintiff Light Transformation Technologies LLC Respectfully submitted,

/s/ Michael H. Jacobs

Michael H. Jacobs

(DC Bar # 455043) (pro hac vice)

Lucy Grace D. Noyola

(DC Bar # 985723) (pro hac vice)

CROWELL & MORING LLP

1001 Pennsylvania Avenue, N.W.

Washington, DC 20004-2595

Office: (202) 624-2500

Fax: (202) 624-5116

mjacobs@crowell.com

lnoyola@crowell.com

² Because LTT is not asserting the '418 patent against LSG and Home Depot, the parties request four hours so that each defendant has an adequate opportunity to address each contested term applicable to it.

Attorneys for General Electric Company, GE Lighting Solutions, LLC, GE Lighting, Inc., GE Lighting, LLC, GE Lighting Systems, LLC, and GE Lighting Systems, Inc.

/s/ Arthur P. Licygiewicz

Arthur P. Licygiewicz Ohio Bar No. 0068458 Megan D. Dortenzo Ohio Bar No. 0079047 THOMPSON HINE LLP 3900 Key Center 127 Public Square Cleveland, Ohio 44114 Office: (216) 566-5500

Office: (216) 566-550 Fax: (216) 566-5800

art.licygiewicz@thompsonhine.com megan.dortenzo@thompsonhine.com

Attorneys for Wal-Mart Stores, Inc.

/s/ Michael C. Smith

Michael C. Smith
State Bar No. 18650410
SIEBMAN, BURG, PHILLIPS & SMITH,
LLP
113 East Austin Street
P.O. Box 1556
Marshall, TX 75671-1556

Office: (903) 938-8900 Fax: (903) 472-4301

michaelsmith@siebman.com

Attorney for General Electric Company, GE Lighting Solutions, LLC, GE Lighting, Inc., GE Lighting, LLC, GE Lighting Systems, LLC, GE Lighting Systems, Inc., and Wal-Mart Stores, Inc.

/s/ Kal K. Shah

Kal K. Shah
Adam Wolek
Anthony Blum
THOMPSON COBURN LLP
55 East Monroe Street, 37th Floor
Chicago, Illinois 60603
Office: (312) 346-7500
THOMPSON COBURN LLP
One US Bank Plaza
St. Louis, Missouri 63101
Office: (314) 552-6000
kshah@thompsoncoburn.com
awolek@thompsoncoburn.com
ablum@thompsoncoburn.com

Eric H. Findlay FINDLAY CRAFT, LLP 6760 Old Jacksonville Hwy, Ste. 101 Tyler, TX 75703 Office: (903) 534-1100 efindlay@findlaycraft.com

Attorneys for Lighting Science Group Corp. and Home Depot U.S.A., Inc.

CERTIFICATE OF SERVICE

I hereby certify that this document is served upon all counsel of record via electronic mail using the same email addresses that were provided to the Court's CM/ECF system per Local Rule CV-5(a)(3).

April 17, 2014

<u>/s/ Henry Pogorzelski</u> Henry Pogorzelski